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Attorney Docket No. FED0013 (71024-582)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No: 10/035,047

Confirmation No: 3243

Filing Date: December 27, 2001

Examiner: Matthew O. Savage

Group Art Unit: 1723

Title: FUEL PUMP/FILTER INTEGRATION

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

DECLARATION OF RICHARD HARVEY

- I, Richard Harvey, declare as follows in support of the conception of this invention prior to May 10, 2000 and in support of the applicant's commercially reasonable diligence prior to May 10, 2000 until the constructive reduction to practice of this invention:
- I am the Technical Director for the Fuel Systems Group of Federal-Mogul Corporation. I have held this position continuously since 1996.
- My responsibilities as Technical Director included the supervising management of David E. Winn and Larry J. Tipton, both former employees of Federal-Mogul Corporation and inventors of the subject patent application.
- My responsibilities also included, and still include, oversight of all patent matters for the 3. Fuel Systems Group of Federal-Mogul.
- The subject invention was first made know to me on or about January 8, 1999 when Mr. 4. Winn reported his discovery of the subject invention.
- Consistent with company policy, I instructed Mr. Winn to complete an Invention Disclosure Form, which he did immediately. A copy of Mr. Winn's Invention Disclosure Form is attached as Exhibit A, and includes a dated drawing of the invention.
- 6. Subsequent improvements to the invention were made by Mr. Tipton, which have been incorporated into the claimed subject matter. As a result, Mr. Tipton is also an inventor of the subject patent application.
- Following company procedures, the Invention Disclosure Form (Exhibit A) was forwarded to Federal-Mogul's Corporate Legal Department, where an official file was created and the matter placed on the docket of the company's in-house Patent Counsel.
- On March 12, 1999, the Legal Department held a Patent Review meeting with the Fuel 8. Systems Group, at which time this invention was reviewed to determine whether protective

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Richard Harvey Declaration Page 2 of 3

action should be taken in view of the company's strategic business objectives, the technical condition of the invention, the potential for commercializing the invention, and other significant considerations. A decision was made to defer patent-legal action for approximately 2 months, while further technical reviews and commercial evaluations where made.

- 9. On May 14, 1999, the Legal Department held a Patent Review meeting with the Fuel Systems Group, at which time this invention was again reviewed to determine whether to take protective action. A decision was made to defer patent-legal action for approximately 8 months, while further technical reviews and commercial evaluations where made.
- 10. On January 14, 2000, the Legal Department held a Patent Review meeting with the Fuel Systems Group, at which time this invention was again reviewed to determine whether to take protective action. A decision was made to defer patent-legal action for approximately 3 months, while further technical reviews and commercial evaluations where made.
- 11. On April 27, 2000, the Legal Department held a Patent Review meeting with the Fuel Systems Group, at which time this invention was again reviewed to determine whether to take protective action. A decision was made to conduct a patentability search. The same day, attorney Anthony Niewyk was verbally instructed to conduct a patentability search for this invention, which instructions were commemorated in a letter from the Federal-Mogul in-house Patent Counsel dated April 28, 2000, as shown in the attached Exhibit B.
- 12. On July 28, 2000, the Legal Department held a Patent Review meeting with the Fuel Systems Group, at which time this invention was reviewed against the results of the patentability search. A decision was made to file a patent application. The same day, attorney Anthony Niewyk was verbally instructed to file a patent application for this invention, which instructions were commemorated in a letter from the Federal-Mogul in-house Patent Counsel dated September 13, 2000, as shown in the attached **Exhibit C**.
- 13. Sometime prior to July 28, 2000, Mr. Winn left the employment of Federal-Mogul Corporation.
- 14. A first draft of the patent application for the subject invention was sent to inventor Mr. Tipton on January 22, 2001, as shown in a letter from the office of attorney Anthony Niewyk attached here as **Exhibit D**.
- 15. Shortly after January 22, 2001, Mr. Tipton left the employment of Federal-Mogul Corporation.
- 16. On information and belief, Attorney Anthony Niewyk spent considerable time and effort in continuous attempts to communicate with Mr. Winn and Mr. Tipton in connection with the text approval of this patent application, and in signing the Declaration and Power of Attorney documents.
- 17. On information and belief, Attorney Anthony Niewyk obtained the text approval and signed Declaration and Power of Attorney from Mr. Winn on December 17, 2001, and the same from Mr. Tipton on October 18, 2001.
- 18. This patent application was then promptly filed, and the invention constructively reduced to practice on, December 27, 2001.

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Richard Harvey Declaration Page 3 of 3

- 19. It is respectfully submitted that, in view of the facts as presented above, Federal-Mogul Corporation has acted will all commercially reasonable diligence to constructively reduce this invention to practice from a date prior to May 10, 2000.
- 20. I declare that all statements made here of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine, or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the if the application or any patent issuing thereon.

Dated: 5/17/04

RICHARD HARVEY

Instructions For Completing Invention Disclosure Form

- 1. Complete this form as soon as possible after you have thought of the invention.
- 2. Attach any drawings to the form. If no drawings exist, please prepare a sketch of the invention and attach
- 3. This form should be signed and dated by each inventor and then witnessed. The witness should not be a co-
- 4. When completed, return this form to Patent Counsel's office (address on Page 4).

Application/Invention Information

COVER INFORMATION A.

B.

On the cover of this form, please print a descriptive name for this invention (e.g., Pinion Seal With Notched Lip), and mark your group in the space provided. An ID number will be assigned by Patent

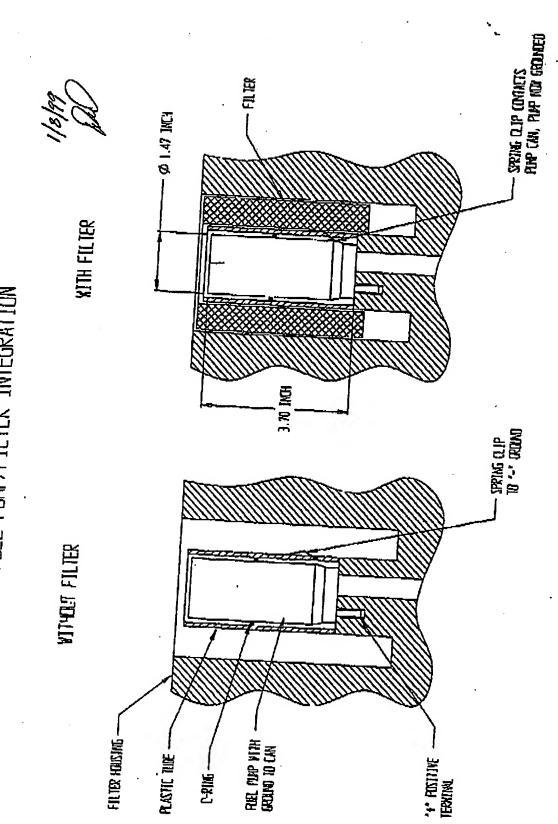
B	ACKGROUND
1.	The date you (or any co-inventors) first thought of this invention:
2.	The date a drawing and/or written description of this investion was f
	The date a drawing and/or written description of this invention was first prepared, e.g., in a report or other document: Density 8. 1999
3.	Have you (or any co-inventors) explained details of this invention to anyone? If so, when and to
	TAVAL.
4,	Has a sample or prototype of the invention been made? If so, who made it, how and when was it made?
5.	Is there an intended customer or emplication for
	Is there an intended customer or application for this invention? If so, please describe: tarker Haunitin Conferation, Recor Diversion
6.	Has the invention been offered for sale or sold? If so, when and to whom?
	Has the invention been released for production? If not, when is it expected to be released? Unknown

	Discuss any problems this invention may solve, or advantages this invention may have products or processes: This towards a solves the puller of the left	Ĕŗ
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2.	Describe the features of this invention that solve the problems or provide the advantages above:	id
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	STATE CITY IS PASSED Against the panes outer housing. Only	Ĺ
	while this happens will the push operate.	
.	Describe the main components of this invention, preferably with reference to an attached draws how the various components interact to achieve the purpose of the invention:	
	Describe the main components of this invention, preferably with reference to an attached draws how the various components interact to achieve the purpose of the invention: The attached property and a granded expense chips in the faller.	
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	Applicant/Inventor Information	tion
1" (or sole) Inventor F Citizenship: USA Home Address: 50	Full Name: David Ernest u	lan
Home Address: 801	5N 650 W	
City/State/Zipcode:	Pour Genter IN 4697%	
Work Phone: 2/9 7/5	Penal Kenter, IN 46978 -4260 Fax: 219-135-0242	Email: Dend War (2 100 car
Signature:	Whi	Date: 1/8/49
2 nd (if any) Inventor F	ull Name:	
Citizenship:		
Liquie Viditess.		•
City/State/Zipcode:		
Work Phone:	Fax:	Email:
Signature:		Date:
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Work Phone:	Pax:	_ Email:
Signature:		Date:
	ional co-inventors on a separate sheet a. IS BEEN EXPLAINED TO ME AND U	-
Witness Signature:	1 / 1	Date: /-P-49
Printed Name: LA	207 11 5050	Date:
Timed Names	ICIC 1 01, TIP 1010	
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Return original to:	Patent Counsel Federal-Mogul Corporation 26555 Northwestern Highway Southfield, Michigan 48034	,

Retain a copy of the completed form for your records. If receipt is not confirmed within two (2) weeks of submission, please contact the Patent Counsel's office at (248) 354-9439 or (248) 354-9927.







TELEFAX 219-460-1727

Jon E. Shackelford Patent Counsel

April 28, 2000

Anthony Niewyk, Esq. Baker & Daniels 111 East Wayne Street Suite 800 Fort Wayne, IN 46802

RE: Patentability Opinion

Dear Tony:

We request your assistance in conducting a patent search in connection with the below invention disclosure (copy enclosed). Please provide your patentability opinion to the Fuel Patent Team - with a copy to me.

Fuel ID-404
 FUEL PUMP-FILTER INTEGRATION

If you have any questions, please call.

Jon E. Shackelford

Very truly yours,

Enclosure

- roll inventor

Gı	oup:
П	Chassis Products
ñ	Engine/Transmission Products
对	Fuel Products
ñ	Lighting Products
	Piston Products
H	Sealing Products
	Other



Fuel 10 No: 404

Fuel Pump / Filter Integration (Descriptive Name)

Instructions For Completing Invention Disclosure Form

- 1. Complete this form as soon as possible after you have thought of the invention.
- 2. Attach any drawings to the form. If no drawings exist, please prepare a sketch of the invention and attach the sketch to this form.
- 3. This form should be signed and dated by each inventor and then witnessed. The witness should <u>not</u> be a co-inventor of this invention.
- 4. When completed, return this form to Patent Counsel's office (address on Page 4).

Application/Invention Information

A. COVER INFORMATION

B.

On the cover of this form, please print a descriptive name for this invention (e.g., Pinion Seal With Notched Lip), and mark your group in the space provided. An ID number will be assigned by Patent Counsel upon receipt.

B	ACKGROUND
1.	The date you (or any co-inventors) first thought of this invention:
	The date a drawing and/or written description of this invention was first prepared, e.g., in a report or other document: Description S. 1999
3.	Have you (or any co-inventors) explained details of this invention to anyone? If so, when and to whom?
4.	Has a sample or prototype of the invention been made? If so, who made it, how and when was it made?
5.	Is there an intended customer or application for this invention? If so, please describe: Yarker Hannitin Conferation, Recor Duranen
6.	Has the invention been offered for sale or sold? If so, when and to whom?
7.	Has the invention been released for production? If not, when is it expected to be released? <u>Vakanta</u>

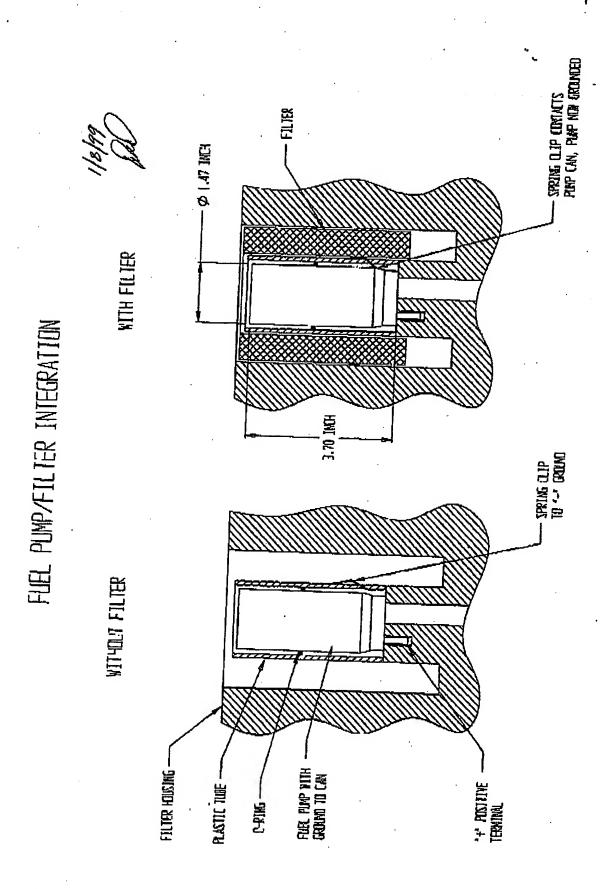
	operating with no filter in the horsing
2.	Describe the features of this invention that solve the problems or provide the advantages iden
	above: Instead of a megative framewal, the lift rump is arounded to the outer housing. The filter housing contained a sixting clip that is appealed. Which the filter is landed into the filter housing the sixth all is present against the pumps exter housing. Only while this happens will the pump operate.
3.	Describe the main components of this invention, preferably with reference to an attached drawing Discuss how the various components interact to achieve the purpose of the invention:
4.	Identify the closest prior products or processes of which you are aware: Standard, Hanne
5.	Describe the significant differences between this invention and products or processes identative. The varyer factors are that the same causes operate without a little and that this is achieved without asing

P.13/19 P.13

INVENTION DISCLOSURE FORM

	Applicant/Inventor Info	ormation
1" (or sole) Inventor Fu	ill Name: David Ernes	+ Winn
Citizenship: USA		
Citizenship:	5N 65BW	and the same of th
City/State/Zipcode:	markenter, IN 46972	2 2 20
Work Phone: 219-159-	Fax: 219-135-0	242 Email: Dead Word & face Co.
Signature:	Khi	Date: 1/8/19
2 nd (if any) Inventor Fu	II Name:	
Citizenship:		
Home Address:	•	
City/State/Zipcode:		
Work Phone:	Fax:	Email:
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3 rd (if any) Inventor Fu	Il Name:	(B DI)
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Home Address:		
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Work Phone:	Fax:	Email:
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	onal co-inventors on a separate	
THIS INVENTION HA	S BEEN EXPLAINED TO ME	AND UNDERSTOOD:
	0 11·-+	- 1-P-89
Witness Signature:	7	Date: /-P-49
Printed Name: LA	RRY U. TIPTON	
	Returning Disclosure	Form
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Return original to:	Patent Counsel Federal-Mogul Corporation 26555 Northwestern Highy Southfield, Michigan 4803	vay
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Retain a copy of the completed form for your records. If receipt is not confirmed within two (2) weeks of submission, please contact the Patent Counsel's office at (248) 354-9439 or (248) 354-9927.





RECEIVED
SEP 18 2000

September 13, 2000

BAKER & DANIELS

Anthony Niewyk, Esq.
Baker & Daniels
111 East Wayne Street, Suite 800
Fort Wayne, IN 46802

RE:

U.S. Patent Application

FUEL PUMP-FILTER INTEGRATION

Our Ref. Fuel-30101 (Former Ref. Fuel ID-404)

Your Ref. FED0013

Dear Tony:

Please prepare a patent application for filing in connection with the above-referenced invention.

Once the application has been approved by the inventor and Federal-Mogul's Patent Counsel, you will be responsible for filing and docketing this application, including preparing and filing all associated legal documentation, prosecuting the application, and any and all corresponding foreign patent applications, unless otherwise agreed.

Federal-Mogul wishes to receive and pay only one invoice for the preparation of this application. Therefore, upon delivery of the completed patent application, please submit a single itemized invoice for your services, plus incurred expenses.

The inventor's name and phone number is listed below. Please contact him directly regarding technical questions.

Inventor: David E. Winn (Logansport, IN) @219-735-0263 / Fax 219-735-0242

Very truly yours,

Jacqueline Marasco

IP Assistant

BAKER & DANIELS

111 E. WAYNE STREET, SUITE 800 · FORT WAYNE. INDIANA 46802 · (219) 424-8000 · FAX (219) 460-1700 · www.bakerdeniels.com

MICHAEL D. SCHWARTZ D(RECT (219) 450-1766 e-mail: mdschwar@bakerd.com

January 22, 2001

INDIANAPOLIS
FORT WAYNE
SOUTH BEND
ELKHART
WASHINGTON, O.C.
OINGDAO, P.R. CHINA

Mr. Larry J. Tipton Federal-Mogul Corporation 91 Industrial Blvd. Logansport, IN 46947

Re:

New U.S. Patent Application

Title: FUEL PUMP/FILTER INTEGRATION

Our ref.:

FED0013

Dear Larry:

Enclosed herewith please find a draft version of the above-identified patent application including specification, claims, abstract, and drawings.

Please review the application to ensure that it adequately and accurately describes and illustrates the invention. While the description and drawings embody our understanding of the invention and its mode of operation based on the information you provided to us, the inventors have the ultimate responsibility to ensure that the disclosure of the invention in a patent application is complete and correct. Thus, if any part of the application is incorrect or should be amplified, please note any necessary changes and give me a call so that we may discuss them.

Please keep the following points in mind as you review the application:

- 1. The information in the application must be technically correct.
- 2. The information in the application must be of sufficient detail to allow a person of ordinary skill in the art to make and use the invention.
- The patent application must set forth the best mode you now, in your subjective view as the inventor, contemplate for making and using the invention. In other words, if there are any details that have not been disclosed that would help another person use the invention more effectively, those details should be disclosed in the application.
- 4. The patent application must identify any limitations that are critical to the operability of the invention.

Mr. Larry J. Tipton January 22, 2001 Page 2

- 5. You should consider any other aspects of the invention that should be added.
- 6. Inventorship must be correctly identified. The full name, including middle initial, of any joint inventors must be listed in the patent application.
- 7. The most relevant references in the prior art (what appeared in patents or other publications or was publically known before your invention) known to you must be disclosed either in the application itself or provided to the patent examiner in an Information Disclosure Statement. Also, any offer for sale, sale, or public use of a device or method similar to the invention would be considered prior art if it occurred more than one year before the filing date of the patent application and must, similarly, be disclosed.

Please contact me after you have completed your review and I will thereafter make any necessary changes and forward a finalized version of the patent application including formal papers for execution so that we may file this patent application in the U.S.P.T.O. Please note that I contacted David Winn today and will forward the finalized application to him after you have completed this initial review.

If you have any questions concerning any of the above, please do not hesitate to contact me.

Very truly yours,

Michael D. Schwartz

MDS13/159609

Enclosure: draft patent application

c: A. Niewyk, Esq. J. Shackelford, Esq.